

## **Integrity Brokerage Services' Business Continuity Planning**

In the event that Integrity Brokerage Services, Inc. undergoes a Significant Business Disruption we have planned for and will address various issues.

In the event that our corporate office location (800-863-7511/service@integrity-brokerage.com) or local business district area is damaged, destroyed or prevents us from utilizing our computers, phones or other equipment we have the following plan in place. We will utilize our back up office location, which has phones, computers and internet access allowing us to seamlessly meet your investment needs. We plan on 100% continued business operation. Our phones can be remotely forwarded to our back up location in a matter of minutes and our telecommunication links are already in place and ready to be utilized. We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

In the event that the entire city, region or state is damaged, destroyed or prevents us from utilizing our office computers, phones or other equipment we have the following plan in place. We will utilize our clearing firm to handle equity orders. Our phone message will be adjusted to provide an alternate telephone number (800-487-4531) directly to the Penson Financial trading desk for orders and customer service assistance. We plan on 100% continued business operation with the help of our business partner, Penson Financial Services.

Our back up facility is located at 2261 Valley Road Oceanside, CA for the first scenario and 1700 Pacific Ave. #1400 Dallas, TX for a the second scenario. The plan above is proprietary and this information is only for clients and associated people of Integrity Brokerage Services, Inc. The above plan is subject to frequent review and modification. Any changes made will be immediately updated and posted on our website [www.integrity-brokerage.com](http://www.integrity-brokerage.com). If you have any questions you may request a written copy of the plan at any time by calling our offices at 800-863-7511.